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Education

PhD, MBA, 1995, 1989, Finance, Katholieke Universiteit Leuven (KU Leuven, Belgium)
M.Eng., B.Eng. 1985, 1983, Management Engineering, Tongji University (Shanghai)

Academic Positions

2002 - : Associate Professor, City University of Hong Kong
2005 - 2006: Visiting Fulbright Scholar, Department of Finance, Northwestern University
1995 - 2002: Assistant Professor, City University of Hong Kong
1991 - 1995: Research Assistant, Department of Applied Economics, KU Leuven
1990 - 1992: Research Program Director, China-Europe Institute, KU Leuven
1985 - 1987: Lecturer, Department of Management, Nanjing Institute of Technology

Academic Management (City U of HK)

- (1) *College of Business*: Associate Director of DBA Program (since 2013); Member of College Board of Business (2010-2012); Member of College Graduate Studies Committee (2010-2012)
- (2) *Dept of Econ & Finance*: Staffing Committee Member (2003-2005; 2008-2014); Finance PhD Director (2010-2012); Research Seminar Coordinator (2007-2012), Program Leader of BBA in Financial Engineering (2003-2005) and MScFin (since 2015); CityU of HK Finance Conference Co-organizer in 2005, 2009-2012; Coordinator of Various Task Teams (since 2010)

Awards:

The 2008 **CICF Best Paper Award**; The **2006, 2008 NTU Outstanding Paper Award** at the NTU International Conference on Finance; The 2003 **Iddo Sarnat Award** for the Best Paper (second place) published in the Journal of Banking and Finance 2002 issues; The 2002 **Barclays Global Investors Award** for the Best Paper in Australasian Capital Markets Research; The 1999 **WFA Award** for the Best Paper in Corporate Finance

Scholarships/fellowships

Fulbright Hong Kong Scholarship for visiting Northwestern University (8/2005-6/2006); Fellowships for the EDEN Advanced Doctoral Seminars: Continuous-Time Theory in Finance, 1992; Empirical Methods in Finance, 1993; Topics in Option Pricing, 1995
ABOS Scholarship for the MBA program (Belgium), 1987-89

Grants

CityU DAG/SRG/SG: 1998-2004/ 2007/2009-14; GRF: 1997/2003-05/2008/2015

Courses Taught (in distinct areas of Finance)

Corporate Finance--Advanced (MSc Finance, Ph.D.); Investment--Introductory and Advanced (Undergraduate, MBA); International Financial Management (MSc Finance, MBA, Undergraduate); Asset Pricing Theory (MSc Finance); Basics: Financial Management (Undergraduate)

Ph.D./DBA/Mphil. Supervised

Graduated: (1) Lily Li XU (2001), Associate Professor at Fudan Univ.; (2) Zheng WANG (2003), Director UBS Security Ltd., Beijing; (3) Jun YAO (2004), Assistant Professor at HK PolyU; (4) Chau Kin AU-YEUNG (2007), Senior Lecturer, Chinese U of HK; (5) Xiaoping Hong, (Mphil, 2007), Anglo-Chinese Group, Hong Kong; (6) Min Liu (2015), Lecturer, Zhongnan Univ. of Econ&Law; (7) Two iDBAs

On-going: (1) Chong Chen (PhD, yr2); (2) 8 iDBA/DBA Students

Research Interests

Corporate Finance, Capital Markets and Asset Pricing, and International Finance

Selected Journal Publications: (See the Full List on Personal Webpage)

1. Wu, Xueping, Zheng Wang, and Jun Yao, 2016, A rent protection explanation for SEO flotation method choice, *Journal of Financial and Quantitative Analysis* 51(3), 1039-69
2. Wu, Xueping and Chau Kin Au Yeung, 2012 (December), Firm growth type and capital structure persistence, *Journal of Banking and Finance* 36, No.12, 3427-43
3. Wu, Xueping and Jun Yao, 2012, Understanding the rise and decline of the Japanese main bank system: The changing effects of bank rent extraction, *Journal of Banking and Finance* 36 (1), 36-50
4. Wu, Xueping, Piet Sercu, and Jun Yao, 2009, Does competition from new equity mitigate bank rent extraction? Insights from Japanese data, *Journal of Banking and Finance* 33, 1884-97
5. Piet Sercu, Martina Vandebroek, and Xueping Wu, 2008, Is the Forward Bias Economically Small? Evidence from European Rates, *Journal of International Money and Finance* 27, 1284-1302.
6. Wu, Xueping and Zheng Wang, 2005, Equity Financing in A Myers-Majluf Framework with Private Benefits of Control, *Journal of Corporate Finance* 11(5), 915-45.
7. Wu, Xueping and Lily L. Xu, 2005, The value information of financing decisions and corporate governance during and after the Japanese Deregulation, *Journal of Business* 78 (1), 243-80.

Recent Conference/working Papers:

1. Growth Uncertainty and the Persistence of Investment and Financial Policies, (with Chau Kin Au Yeung), presented at the 2013 CICF in Shanghai on July 9-12 and (in an early version) the 2012 CityU of HK International Conference in Finance in June.
2. Do Market Imperfections Give Rise to Financial Constraints? A Growth Type Explanation for the Sensitivity of Investment to Cash Flow, (with Chau Kin Au Yeung), presented at the 2012 CityU of HK International Conference in Finance in June.
3. A Growth Type Explanation for Persistence in Retained Earnings and Propensity to Pay Dividends, (with Chau Kin Au Yeung), presented in an earlier version at the 2009 CICF in Guangzhou, China, July 7-10

Participation in Major Conferences:

(A=Attend, P=Present, C=Co-author Present, D=Discuss, S=Session Chair)

The AFA Annual Meetings, 1998(P, C), 1999(A), 2000(A), 2004-2016(A)

The EFA Annual Meetings, 1995(C), 1996(P), 1997(P), 1998(A,C), 2000(P,D), 2001(A,C)

The WFA Annual Meetings, 1997(C), 1999(P), 2001(A), 2002(C), 2004-9(A), 2011-16(A)

The CICF Annual Meetings, 2004-6 (P), 2007 (P,D,S), 2008 (P,D), 2009 (P,D,S), 2010-11 (D,S), 2013 (P,D), 2016 (D)

ad hoc Referee (1) Scholarly Journals including European Financial Management, Financial Management, Financial Review, Journal of Banking and Finance, Journal of Corporate Finance, Journal of Finance, Journal of International Money and Finance, Pacific-Basin Journal of Finance; (2) RGC/GRF grant proposals